

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/800,147

Filing Date

March 11, 2004

First Named Inventor

David F. Bocian

Group Art Unit

Unassigned

Examiner Name

Unassigned

Total Number of Pages in This Submission**Attorney Docket Number**

407T-302900US

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
- ☐ Amendment / Response
☐ After Final
☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/
Incomplete Application
- ☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition
- ☐ Petition to Convert to a
Provisional Application
- ☐ Power of Attorney, Revocation
Change of Correspondence
Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication
to Group
- ☐ Appeal Communication to Board
of Appeals and Interferences
- ☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s)
(please identify below):

PTO Form 1449
Cited References
receipt acknowledgment
postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT****Firm
or
Individual name**

Tom Hunter, Reg. No. 38,498,

Quine Intellectual Property Law Group P.C.

Signature**Date**

December 8, 2004

CERTIFICATE OF MAILING

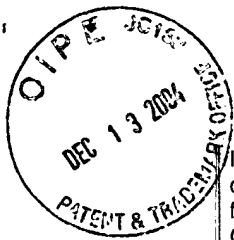
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, DC 20231 on this date: December 8, 2004

Typed or printed name

Chianti Appling

Signature**Date**

12/8/04



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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

Chianti Applying

Attorney Docket No. 407T-302900US
Client Ref. No. 2003-532-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David F. Bocian, et al.

Application No.: 10/800,147

Filed: March 11, 2004

For: PROCEDURE FOR PREPARING
REDOX-ACTIVE POLYMERS ON
SURFACES

Confirmation No.

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tom Hunter', is written over a horizontal line.

Tom Hunter, J.D., Ph.D.
Reg. No. 38,498

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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/800,147
Filing Date	March 11, 2004
First Named Inventor	David F. Bocian
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	407T-302900US
Date Submitted	December 8, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1	5,091,502		Narang et al.	2/25/1992	
	2	5,252,730		Mackey	10/12/1993	
	3	5,286,877		Behling et al.	2/15/1994	
	4	5,312,896		Bhardwaj et al.	5/17/1994	
	5	5,352,764		Mackey	10/4/1994	
	6	5,360,880		Peshley et al.	11/1/1994	
	7	6,107,480		Funken et al.	8/22/2000	
	8	6,212,093		Lindsey	4/3/2001	
	9	6,235,895		McEwan et al.	5/22/2001	
	10	6,272,038		Clausen et al.	8/7/2001	
	11	6,324,091		Gryko et al.	11/27/2001	
	12	6,381,169		Bocian et al.	4/30/2002	
	13	6,429,310		Kobuke et al.	8/6/2002	
	14	6,451,942		Li et al.	9/17/2002	
	15	6,602,998		Kobuke et al.	8/5/2003	
	16	6,657,884		Bocian et al.	12/2/2003	
	17	6,674,121		Misra et al.	1/6/2004	
	18	6,728,129		Lindsey et al.	4/27/2004	
	19	6,777,516		Li et al.	8/17/2004	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	20	WO	01/03126 A2		Univ of CA	1/11/2001		

Examiner
SignatureDate
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Substitute for form 1449A-B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Complete if Known	
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	Attorney Docket Number	407T-302900US
	Date Submitted	December 8, 2004

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	21	Cleland et al. (1995) <i>J. Chem. Soc. Faraday Trans.</i> 91: 4001-4003	
	22	Buriak (1999) <i>Chem. Commun.</i> 1051-1060	
	23	Linford et al. (1995) <i>J. Am. Chem. Soc.</i> 117: 3145-315	
	24	Hamers et al. (2000) <i>Acc. Chem. Res.</i> 33: 617-624	
	25	Haber et al. (2000) <i>J. Phys. Chem. B</i> , 104: 9947-9950	
	26	Roth et al. (2000) <i>J. Vac. Sci. Technol. B</i> , 18: 2359-2364.	
	27	Roth et al. (2003) <i>J. Am. Chem. Soc.</i> 125: 505-517.	

Examiner Signature		Date Considered	
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